



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Jonathan P. McIntosh

Serial No.: 10/043,868

Filed: November 1, 2001

For: AUTOMATED THIRD PARTY VERIFICATION SYSTEM

Group Art Unit: 2641 2665

Examiner: Not Known

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Technology Center 2600

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

## INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

1-24-2004

Date of Deposit

Michele A Zarinelli

_	g of a fii is requii	rst Office action after filing a request for continued examination under § 1.114. Thus, red.
	$\boxtimes$	However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below.
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
action of	on the n	OS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office nerits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance § 1.311.
		The fee due under 37 CFR § 1.17(p) is submitted herewith.
		A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.
		OS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37
_		or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee.
	ment ur ted here	nder 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is with.
		STATEMENT UNDER 37 CFR § 1.97(e):
	Each it	em contained in this IDS was first cited in any communication from a foreign patent
office i	n a cou	nterpart foreign application not more than three months prior to the filing of this IDS.
	No iter	n contained in this IDS was cited in a communication from a foreign patent office in a
counte	rpart for	reign application, and, to the knowledge of the person signing this statement after
making	g reason	able inquiry, no item of information contained in this IDS was known to any
individ	lual desi	ignated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

	PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:
	A check in the amount of is enclosed for the above fee(s).
	Please charge Deposit Account No. 50-1880 for the above fee(s).
	Respectfully submitted, WEST CORPORATION
Dated	By: Rocco L. Adornato Reg. No. 40,480

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PATENT & TRADEMARK OFFICE

WEST CORPORATION 11808 Miracle Hills Drive Omaha, NE 68154

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

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Application Number	10/043,868	
Filing Date	November 1, 2001	
First Named Inventor	Jonathan P. McIntosh	
Art Unit	2641	
Examiner Name	Not Known	
Attorney Docket Number	ΡΔΤ-010Δ	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (f known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BN	US- 6070241	05-30-2000	Jim G. Edwards	1,32.50,455
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	ВО	<sup>US-</sup> 5819029	10-06-1998	Jim G. Edwards	_
	BP	<sup>US-</sup> 6650736	11-18-2003	Nicholas K. Unger	
	BQ	<sup>US-</sup> 6275940	08-14-2001	Jim G. Edwards	
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		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known for form 1449/PTO **Application Number** 10/043,868 INFORMATION DISCLOSURE Filing Date November 1, 2001 STATEMENT BY APPLICANT **First Named Inventor** Jonathan P. McIntosh Art Unit JAN 2 9 2004 2461 (Use as many sheets as necessary) **Examiner Name** Not Known Technology Center 2600 Attorney Docket Number Sheet **PAT-010A** of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	BR	VOICELOG, VoiceLog Announces Free Speech TPV - Speech Recognition-Based Verification At Touchtone Prices, Press Release, www.voicelog.com, 01-06-2004.	
	BS	VOICELOG, VoiceLog Offers Third Party Verification for Wireless Number Portability, Press Release, www.voicelog,com, 10-31-2003.	
	вт	VOICELOG, Bray Joins VoiceLog as Director of Live Operator Verification Services, Press Release, www.voicelog.com, 10-28-2003.	
	BU	VOICELOG, VoiceLog Offers Sarbanes-Oxley Employee Hotline Solution, Press Release, www.voicelog.com, 10-07-2003.	
	BV	VOICELOG, VoiceLog Makes INC 500 List of Fastest-Growing Private Companies Second Straight Year, Press Release, www.voicelog.com, 10-03-2003.	
	вw	VOICELOG, VoiceVerified Helps Telemarketers Meet New FTC Sales Rule, Press Release, www.voicelog.com, 05-01-2003.	
	вх	VOICELOG, VoiceLog Scores A Win For Telecom Industry Against "Drop-Off" Rule Seeks Partners for Potential Suit Against FCC to Overturn, Press Release, www.voicelog.com, 03-27-2003.	
	BY	VOICELOG, VoiceLog Helps Telemarketers Meet New Telephone Sales Rule by March 31 Deadline, No Need to Make Captial Investment to Record Telemarketing Sales, Press Release, www.voicelog.com, 03-12-2003.	
	BZ	VOICELOG, VoiceLog Passes Half-Million Mark for Live Operator Verification Services Adds New Features and Functionality, Press Release, www.voicelog.com, 02-24-2003.	
	CA	VOICELOG, VoiceLog Ranked 77th in Inc Magazine's "Inc 500" for 2002, Press Release, www.voicelog.com, 10-15-2002.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	(Ose as many sn	eers as u	cessary)	Examiner Name	Not Known	Technology Cer	tar 2601
Sheet	3	of	6	Attorney Docket Number	PAT-010A	Tournology Co	161 2001

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	СВ	VOICELOG, VoiceLog Appoints Karl Erickson As Chief Technology Officer, Press Release, www.voicelog.com, 10-07-2002.	
	СС	VOICELOG, VoiceLog Announces "Verifiably Able" New Program Offers Meaningful Employment to Physically Challenged, Press Release, www.voicelog.com, 05-28-2002.	
	CD	VOICELOG, VoiceLog Announces New Operations Center Added Location Provides Additional Capacity, Redundancy, Reliability, Press Release, www.voicelog.com, 03-01-2002.	
	CE	VOICELOG, VoiceLog Announces Live Operator Third Party Verification, Press Release, www.voicelog.com, 05-01-2001.	
	CF	VOICELOG, VoiceLog Announces Package for New FCC Rules, Press Release, www.voicelog.com, 01-30-2001.	
	CG	VOICELOG, VoiceLog and TSS AG (Switzerland) Bring Third Party Verification to Europe, Press Release, www.voicelog.com, 01-17-2001.	
	СН	VOICELOG, VoiceLog Announces Voice Recognition for Third Party Verifications, Press Release, www.voicelog.com, 11-04-1997.	
	CI	VOICELOG, VoiceLog Announces Winter Weather Insurance For Third Party Verifications, Press Release, www.voicelog.com, 10-31-1997.	
	Cl	VOICELOG, VoiceLog Guarantees Third Party Verification Compliance, Press Release, www.voicelog.com, 09-23-1997.	
	СК	VOICELOG, California Leads the Nation in Slamming Control, Press Release, www.voicelog.com, 03-27-1998.	

Examiner	Date	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Complete if Known Substitute form 1449/PTO SW & TRACK **Application Number** 10/043,868 INFORMATION DISCLOSURE **Filing Date** November 1, 2001 STATEMENT BY APPLICANT First Named Inventor Jonathan P. McIntosh Art Unit 2461 (Use as many sheets as necessary) **Examiner Name** Not Known Attorney Docket Number Sheet **PAT-010A** of

		NON PATENT LITERATURE DOCUMENTS	
Initials* No.1 the item (book, magazine, journal, serial, symposium, catalog		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T² ·
	CL	VOICELOG, VoiceLog Announces CPNI Verification Products, Press Release, www.voicelog.com, 03-04-1998.	
	СМ	VOICELOG, VoiceLog's 50 State "Anti-Slamming Rules Report" Available on the Internet, Press Release, www.voicelog.com, 02-04-1998.	
	со	VOICELOG, VoiceLog Announces Cost Effective Verification for Electric Service, Press Release, www.voicelog.com, 01-06-1998.	
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	CQ	VOICELOG, VoiceLog and Telecommunications On Demand Announce Distribution Agreement, Press Release, www.voicelog.com, 11-12-1997.	
	CR	VOICELOG, VoiceLog Offers Industry-Wide Solution to "Cramming", Press Release, www.voicelog.com, 04-21-1998.	
	cs	VOICELOG, VoiceLog Third Party Verification Releases Free Analysis of New FCC Anti-Slamming Rules, Press Release, www.voicelog.com, 02-09-1999.	
	СТ	VOICELOG, VoiceLog Meets Anti-Slamming Rules for E-Commerce Internet Orders, Press Release, www.voicelog, com, 01-27-1999.	
	CU	VOICELOG, VoiceLog Announces "Quick Freeze"*The New Third Party Verification Program for Carrier Freezes* to Meet the FCC Anti-Slamming Rules, Press Release, www.voicelog, com, 01-12-1998.	
	CV	VOICELOG, VoiceLog Closes 1998 With Two Million Third Party Verification Transactions-Now the Leader in Preventing Slamming, Press Release, www.voicelog, com, 01-30-1998.	

Examiner	Date	
Signature	 Considered	

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•			t of 1995, no persons an	e required to respond to a collection	of information unless it contains a v Complete if Known	alid OMB control number.	
W. F.	Substitute form 1449/PTO	Application Number	10/043,868				
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NON PATENT LITERATURE DOCUMENTS Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Initials\* the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue No. T<sup>2</sup> number(s), publisher, city and/or country where published. VOICELOG, VoiceLog Third Party Verification Helps Telecommunications Industry CW Meet New FCC Anti-Slamming Rules, Press Release, www.voicelog, com, 12-20-1998. VOICELOG, VoiceLog Is First Choice for Third Party Verification, Press CX Release, www.voicelog, com, 08-10-1998. VOICELOG, Total Slamming Control - The Ultimate in Third Party CY Verification, Press Release, www.voicelog, com,05-11-1998. VOICELOG, VoiceLog Releases Study on State Attitudes Toward FCC CZ Anti-Slamming Rules, Press Release, www.voicelog, com, 04-14-1999. VOICELOG, VoiceLog Third Party Verification Releases Updated DA Anti-Slamming Rules Report, Press Release, www.voicelog, com, 03-16-1999. VOICELOG, VoiceLog Announces Web Interface for Verification DB Retrievals and Audio Playback, Press Release, www.voicelog, com. VOICELOG, VoiceLog Announces Dual Channel Recording, Press Release, DC www.voicelog, com, 01-04-2000. VOICELOG, VoiceLog Announces High Quality Name and Address DD Transcription, Press Release, www.voicelog, com, 02-01-2000. VOICELOG, VoiceLog Goes to the Ends of the Earth to Review Third Party DE Verification, Press Release, www.voicelog, com, 02-29-2000. VOICELOG, FCC Acknowledges Validity, Value of Automated Third Party DF Verification, Press Release, www.voicelog, com, 08-24-2000.

Examiner	Date	
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THAT I WAS TO SEE THE	Application Number	10/043,868	
INFORMATION DISCLOSURE	Filing Date	November 1, 2001	
STATEMENT BY APPLICANT	First Named Inventor	Jonathan P. McIntosh	RECEIVED
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		NON DATENT LITTED ATLIDE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	DG	VOICELOG, VoiceLog Announces True State-Specific Third Party Verification, Press Release, www.voicelog, com, 05-09-2000.	
	DH	VOICELOG, VoiceLog Announces Easy Recording Download Function, Press Release, www.voicelog, com, 03-01-2000.	
	DI	VOICELOG, VoiceLog Announces Inbound That Delivers, Press Release, www.voicelog, com, 10-02-2000.	

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